Scoring Guidelines for the Juvenile Sexual Offense Recidivism Risk Assessment Tool - II (JSORRAT-II) ©

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General Instructions

The Juvenile Sexual Offense Recidivism Risk Assessment Tool – II (JSORRAT-II) is an actuarial sexual recidivism risk assessment tool designed for male juveniles between ages 12.00 and 17.99 who have been adjudicated guilty for a sexual offense. It is *not* designed for juveniles younger than age 12 or whose only sexual offending adjudication(s) occurred when the juvenile was less than 12years-old. Offense-related behavior prior to age 12 may be used only if the juvenile was adjudicated guilty for a sexual offense on or after his 12th birth date. Furthermore, the JSORRAT-II has not been validated to provide estimates of risk to sexually reoffend beyond age 18, and consequently, all assessments expire at age 18.

The JSORRAT-II may be used experimentally in any state to tentatively inform treatment, programming, and other similar clinical decisions. Use of the JSORRAT-II to advise forensic decisions (e.g., registration, community notification, civil commitment) should be limited to states in which it has been validated or is currently being validated (as of October 2009, this included Utah, Iowa, California, and Georgia) until further validation evidence exists, particularly in jurisdictions with different demographic and judicial processes.

- > The JSORRAT-II is scored based on a juvenile justice case file review, so it is critical that the entire case file be reviewed before scoring the JSORRAT–II.
- > Use only official documents in the case file as data sources in scoring the JSORRAT-II. Do not use any information gained from sources external to the case file.
- > Score all items unless there is insufficient data in the file for even a reasonable approximation. Items that cannot be scored because of missing data should be counted as a zero when computing the total score for the JSORRAT-II.
- For items 1 through 6, only sexual offenses for which the juvenile was charged are counted. Sexual offenses are defined as all sexual offenses by statute and include charges for attempted sexual offenses and conspiracy to commit a sexual offense.

- ✓ Item 1 additionally requires that the sexual offense be adjudicated guilty
- ✓ Item 5 additionally requires that the sexual offense charge be for a felony-level sexual offense. For offenses committed in states that do not differentiate between misdemeanorand felony-level sexual offenses for juveniles, consider the offense a felony any time the offending behavior involved sexual contact ("hands-on") between the juvenile and victim or forced sexual contact between two or more victims.

Training

Reading through this manual will give readers a general idea about the general scoring guidelines of the JSORRAT-II. However, in order to reliably score the tool, the authors recommend attending training sessions, which typically consist of 1-day to 1.5-day workshops and involve both didactic instruction and the supervised scoring and discussion of practice cases.

Number of adjudications for sexual offenses, including the current adjudication:

One	. 0
Two	. 1
Three	. 2
Four or More	. 3

Scoring Criteria

Count all sexual offense charges for which the juvenile was adjudicated guilty prior to age 18. Include the most recent (index) sexual offense in this count. Include all charges for which the juvenile plead or was adjudicated (convicted) guilty, regardless of level (e.g., misdemeanor, felony). Finally, include all adjudications and convictions occurring in juvenile or adult court, so long as the juvenile was under age 18 at the time of the adjudication. Deferred judgments, deferred sentences, etc. are counted as adjudications.

Discussion

This item is based on a simple count of the official judicial adjudications for sexual offenses prior to age 18. The number of charge or adjudication dates, discrete events, or victims is not relevant to this item, only the number of adjudications for sexual offenses.

Include all adjudications for attempted sexual offenses or for conspiracy to commit a sexual offense, provided the adjudication occurs prior to age 18. Do not count adjudications for non-sexual offenses, even in cases where a sexual offense was originally levied and pled down to the non-sexual offense. Do not count Child Protective Services (or state equivalent) cases of founded sexual abuse perpetrated by juvenile. A juvenile justice adjudication or conviction in adult court is required to be counted for this item.

- 1. A juvenile has a record of one misdemeanor-level adjudication for sexually lewd behavior and one felony-level adjudication for sexual abuse of a child less than age 14. Count as two adjudications, score as 1 (note that the level of charge is irrelevant for this item).
- 2. A juvenile was charged with four counts of felony-level sexual abuse of a child, but reached a plea agreement. According to the terms of the plea, he was adjudicated guilty for only two counts. Count as two adjudications, score as 1 (note that this item focuses on adjudications, as opposed to charges).

- 3. A juvenile was charged with four counts of felony-level sexual abuse of a child, but reached a plea agreement. According to the terms of the plea, he was adjudicated guilty for one sexual abuse charge and one simple assault charge. Count as one adjudication, score as 0 (note that the non-sexual assault adjudication is not counted despite being reached as a result of a plea agreement where the original charge was for a sexual offense - this offense would be counted on Items 2-6, however, because the charge was for a sexual offense).
- 4. A juvenile was adjudicated guilty for one felony-level sexual abuse of a child, but during the course of treatment he disclosed three other offenses. None of the additional offenses were charged or adjudicated. Count as one adjudication, score as 0 (note that only official adjudications are counted for this item).
- 5. A juvenile was adjudicated guilty for one felony-level sexual abuse of a child, but he has a Child Protective Services record of founded sexual abuse in his file that indicates he perpetrated sexual abuse on his sister when he was seven-years-old. Count as one adjudication, score as 0 (note that Child Protective Services reports of founded sexual abuse do not count as an official adjudication for this item).
- 6. A juvenile has a record of one felony-level adjudication based on the sexual assault of three victims. Count as one adjudication, score as 0 (note that the number of victims is irrelevant for this item).
- 7. A juvenile was adjudicated guilty on three counts of felony-level sexual abuse. He was arrested and charged with sexually lewd behavior two years prior. However, that charge was dismissed. Count as three adjudications, score as 2 (note that dismissed or dropped charges are not counted for this item).
- 8. A juvenile was adjudicated guilty for two felony-level sexual offenses based on six discrete sexual molestations of the same victim. Count as two adjudications, score as 1 (note that the number of sexual offending events is not counted for this item, only the number of adjudications).
- 9. A juvenile was adjudicated guilty for one felony-level sexual abuse of a child charge, but records in the file indicate he was adjudicated for felony-level assault one year prior to the current adjudication. A police report from that assault specifies that the juvenile and his romantic partner were involved in a physical altercation at a party. Mutual friends separated them and police were called after the juvenile forced the victim to remove her pants and bend over a chair. Interview records from the victim specify that the juvenile "tried to rape" her. Count as one adjudication, score as 0 (note that even though police reports clearly specify the events that lead to the assault charge involved attempted sexual violence, the charge was adjudicated as a non-sexual offense -- if this was originally charged as a sexual offense, then it would be scored on Items 2-6, but not on Item 1.).
- 10. A juvenile was adjudicated guilty on one count of misdemeanor-level lewd sexual behavior. He also has a prior adjudication for conspiracy to commit sexual assault. Count as two adjudications, score as 1 (note that conspiracy to commit a sexual offense adjudications are counted for this item).

Number of different victims in charged sexual offenses:

One	0
Two	1
Three	2

Scoring Criteria

Count the number of discrete victims in charged sexual offenses perpetrated by the juvenile. Include victims from the current (index) sexual offense charge.

Discussion

The number of discrete victims is what is counted for this item. The number of charges, adjudications, or event contacts is not relevant. Include all victims in charged sexual offenses where sexual contact was made between the juvenile and the victim(s), even if the charge is plead down to a non-sexual charge.

Do not count self-reported or alleged victims if the incident did not result in an official charge.

If the juvenile was charged with an exposure offense in which a group of people witnessed the exposure, count that group of witnesses as only one victim for each such offense.

For charges that involved sexual contact with one or more victims and other people observed the sexual act, count each observer only if they were forced by the sexual offender or an accomplice to observe the sexual behavior. If the viewing of the sexual behavior was inadvertent (e.g., entered a room where the sexual offense was being perpetrated), do not count the observer as a victim.

- 1. A juvenile was charged with misdemeanor-level lewdness after exposing his posterior to several cars passing him by. As many as 10 people witnessed this offense. Count as one victim, score as 0 (note that a group in "hands-off" exposure charges are counted as one victim).
- 2. A juvenile has two charges for exposing himself to a group of children on a playground and for exposing himself to another group of children at the library two months later. Count as two victims and score as 1 (note that these are two discrete events and charges with only one victim counted for each event because both were "hands-off" exposure offenses).
- 3. A juvenile was charged and adjudicated guilty for an incident in which the he fondled two children that he was babysitting. Count as two victims, score as 1.

- 4. A juvenile has a record of four charges for "hands-on" sexual offenses against two different victims on multiple occasions. Count as two victims, score as 1 (note that the number of events and charges are not counted for this item).
- 5. A juvenile has a record of four charges for "hands-on" sexual offenses against four different victims. He received one charge for each victim. Two charges were eventually dropped due to a plea agreement. Count as four victims, score as 2 (note that adjudications are not required for victims to be counted for this item).
- 6. During the course of treatment, a juvenile divulged that he had two additional victims for which he was not charged. His only officially charged sexual offense involved one victim. Count as one victim, score as 0 (note that only victims in offenses that result in an official charge are counted).
- 7. A juvenile was charged and adjudicated guilty for felony-level sexual abuse against a child. A police report states that while the sexual abuse was occurring, the victim's four-year-old sister entered the room and observed the abuse. Count as one victim, score as 0 (note that the observer was not forced to observe the sexual offending behavior).
- 8. A juvenile was charged and adjudicated guilty for felony-level sexual abuse against a child. A police record states that while the juvenile was sexually abusing a victim, his accomplice forced the victim's four-year-old sister to observe the abuse. Count as two victims, score as 1 (note that the observer was forced to observe the sexual offending behavior).

Length of sexual offending history based on the time between the charge date for the first sexual offense and the charge date for the most recent sexual offense:

Zero Time (only one charge date)	0
1 day to 5.99 Months	1
6.00 to 11.99 Months	2
12 or More Months	3

Scoring Criteria

This item reflects the duration of officially charged sexual offending behavior. Determine the dates of the juvenile's first and most recent sexual offense charges. Calculate the number of months between the two dates without rounding. If the offender only has one official sexual offense charge or all charges were levied on the same day, score this item as zero.

Discussion

This item does not require that either the first or the last sexual offense charge be adjudicated guilty; only that sexual offense charges were levied. Do not count self-reported or alleged sexual offenses that did not result in an official charge. Even if two charges that were levied on different days are adjudicated on the same day, count the time between the two charge dates.

- 1. A juvenile only has one sexual offense charge. Count as Zero Time, score as 0.
- 2. A juvenile was charged for his first sexual offense November 6, 2001, and the date of his most recent sexual offense charge was April 30, 2002. Count as 5 months and 24 days, score as 1.
- 3. A juvenile received three charges for a sexual offending incident. All charges were levied on October 31, 2001. Count as Zero Time, score as 0 (note that all charges were levied on the same date).
- 4. A juvenile received two charges on June 5, 2003 for two sexual abuse incidents against his younger sibling. The dates of the offending incidents were May 15, 2003 and May 22, 2003. Count as Zero Time, score as 0 (note that this item only considers the time between charge dates).
- 5. A juvenile was charged for his first sexual offense on June 4, 2002, but that charge did not result in an official adjudication. His only other sexual offense, which is his most recent offense, was charged on January 18, 2005. Count as 31 months and 14 days, score as 3 (note that this item does not require a charge be adjudicated guilty).

- 6. A juvenile self-reported a sexual offense that occurred in October 2003, but that sexual offense was never charged. His only other sexual offense that resulted in an official charge was his index offense, which was charged on July 17, 2004. Count as zero months, score as 0 (note that uncharged offenses are not counted in this item, so this juvenile only has one charged sexual offense).
- 7. A juvenile was charged for a sexual offense on January 13, 2009. A week later (January 20) he was charged again for a sexual offense that resulted from a separate incident. Both charges were adjudicated guilty on the same day. Count as 7 days, score as 1 (note that this item depends only on the difference between charge dates, not adjudication dates)

Was the juvenile under any form of court-ordered supervision when he committed any sexual offense for which he was subsequently charged?

No	C
Yes	1

Scoring Criteria

Score "Yes" if the juvenile committed any sexual offense for which he was subsequently charged, including the current offense, while under some form of court-ordered supervision. Only a charge for a sexual offense committed while under supervision is necessary for a score of "Yes" on this item; adjudication is not required. This is a "threshold" item in that it only takes one offense, for which he was eventually charged, that occurs while under court-ordered supervision to be scored as "yes." Court-ordered supervision includes probation or placement in a detention center, halfway house, or a treatment facility. It also includes that time awaiting a hearing on a charge that the juvenile has received.

Discussion

Note that court-ordered supervision can be related to any offense and does not have to be the result of previous sexual offense adjudications. The court-ordered supervision must, however, be a result of the offender's own misconduct, as opposed to his parents' misbehavior (e.g., court-ordered foster care), but the misconduct does not need to be related to a sexual offense.

For this item, do not count self-reported sexual offenses that did not result in a formal charge, even if those offenses occurred while under supervision.

The time between a charge and adjudication is considered supervised even if the offender is allowed to remain at home under his parent or legal guardian's supervision. Out of home placement as part of a Child in Need of Assistance (CINA) adjudication only counts as supervised if the CINA was ordered as a result of the child's own difficult behavior rather than his parents inadequacies or bad behavior.

If any sexual offending behavior that later results in a formal charge occurred while under courtordered supervision, even if the charge is levied after the end of said supervision, score this item "Yes" because the timing requirement is that the offense itself occurred while under supervision.

Examples

1. A juvenile committed a misdemeanor-level sexual offense while on probation for a previous shoplifting offense. He was officially charged for the sexual offense. Count as "Yes," score as 1 (note that the court-ordered supervision does not have to be the result of a prior sexual offense).

- 2. While at a residential treatment facility, a juvenile committed a felony-level sexual offense against another resident and was subsequently charged for that offense. He was placed in the residential treatment facility by his parents to treat his self-injurious behavior. Count as "No," score as 0 (note that the treatment facility placement was voluntary and not courtordered).
- 3. A juvenile was incarcerated in a juvenile detention facility after being adjudicated guilty for a felony-level assault charge. While incarcerated, he sexually assaulted a detention facility worker. Count as "Yes," score as 1 (note that court-ordered supervision does not have to be the result of a prior sexual offense).
- 4. A juvenile was home on a weekend leave from a court-ordered, residential treatment facility. While home, he sexually abused his younger sibling. He was arrested, charged and returned to the residential treatment facility pending his adjudication hearing. Count as "Yes," score as 1 (note that even though the offending behavior did not occur in the court-ordered treatment facility the behavior occurred between the time of the court-order and the completion of the treatment).
- 5. A juvenile self-reported committing sexual offenses while on probation for a previous sexual offense. However, none of these offenses were charged. Count as "No," score as 0 (note that self-reported sexual offenses that did not result in an official charge are not counted on this item).

Were any sexual offenses that resulted in an official felony-level ("hands-on") charge committed in a public place?

No	C
Yes	1

Scoring Criteria

Score "Yes" if the juvenile was charged for any felony-level ("hands-on") sexual offense, including the current offense, where any part of the sexual activity occurred in a public place. A public place is defined as any area that is built and maintained for the general public, generally accessible by people in the community, or is open to the scrutiny of others. Examples of public places include schools, workplaces, parks, vacant lots, offenders or victim's yards, public restrooms, and vehicles located in or moving through public places. Actual observation by others is not required for this item to be scored "Yes" – it is the designation of the space and not the absence or presence of others that determines whether or not a place is public. Do not score "Yes" if the charged offense was a misdemeanor-level ("hands-off") sexual offense.

Discussion

Note that anywhere in a treatment facility, group home, or detention center, including the offender's bedroom, is considered a public place.

Note that a "Yes" score requires that at least some sexual activity occur in a public place. Do not code "Yes" if none of the sexual activity occurred in public. For example, a victim may be kidnapped off a playground (public place) and driven to the offender's home, where the actual sexual activity occurs. This offense would be coded as "No" because the sexual activity occurred in the offender's home.

Note that sexual activity that occurs in the yard of private property generally considered a "public place" because it is generally open to the scrutiny of others. Front yards are virtually always scored as a public place, as would back yards unless a case can be made that it is essentially a compound. Again, actual observation by others is not required for this item to be scored "Yes." For example, sexual abuse committed on the front yard of the offender's home, even if unobserved, would be considered a "public place" because it is generally open or observable space.

Note that the "hands-on" (contact) sexual activity must result in a felony-level charge. In the event that a state does not levee misdemeanor- or felony-level charges at juveniles, consider all "hands-on" sexual offenses to be felony-level.

- 1. A juvenile was charged with felony-level sexual assault on a victim in a restroom at a park. No one observed the assault. Count as "Yes," score as 1 (note that being observed in a public place is not required).
- 2. A juvenile was charged with felony-level sexual assault after luring the victim from a playground into his home with the promise of playing video games. The actual assault occurred in the home. Count as "No," score as 0 (note that all of the sexual activity occurred in the perpetrator's home).
- 3. A juvenile was charged with felony-level sexual abuse of a child for an offense that occurred in the yard of a friend's house. Count as "Yes," score as 1 (note that offenses occurring in yards of private homes count as occurring in "public" except in the exceptionally rare cases when it can be documented that the yard is completely unobservable in principle.
- 4. A juvenile's record indicates he was charged and adjudicated guilty for a sexual offense in another state, prior to moving to his current residence. That state does not specify misdemeanor- or felony-level charges for juvenile offenders. However, a police report indicates that the juvenile penetrated the vagina of the alleged victim while in the back of a car in a city park. Count as "Yes," score as 1 (note that "hands-on" offenses count as felony-level charges in states that do not differentiate misdemeanor- and felony-level charges for juveniles).
- 5. A juvenile was charged for felony-level sexual abuse for an offense that occurred in the back seat of his car. The car was parked in his parent's garage and the garage door was down. Count as "No," score as 0 (note that, though the sexual behavior occurred in a car, the car was located on private property in an area that was not open to the scrutiny of the public).
- 6. A juvenile was charged with felony-level sexual abuse for fondling a female victim on a playground. As part of a plea deal, the charge was reduced to a misdemeanor. Count as "Yes," score as 1 (note that the charge level is what is relevant for this item).
- 7. A juvenile was charged with forcible sexual assault after molesting another resident in an inpatient treatment unit. Count as "Yes," score as 1 (note that all areas of a treatment or correctional facility are considered public places).
- 8. A juvenile was charged for a misdemeanor-level exposure offense (mooning) while at an outdoor public swimming pool. Count as "No," score as 0 (note that only felony-level ("hands-on") sexual offenses are counted on this item).
- 9. A juvenile was charged with felony-level sexual abuse for an offense occurring in an apartment complex corridor. Count as "Yes," score as 1 (note that although an apartment unit itself is a private place, the common corridor is a public place).

Did the juvenile engage in deception or grooming of the victim prior to any charged sexual offense?

No	0
Yes	1

Scoring Criteria

Score "Yes" if the juvenile was charged for any sexual offense, including the current offense, in which he used deception and/or grooming to facilitate the commission of the offense. Deception may include the juvenile misrepresenting his identity, the statements of an authority figure, or his responsibilities vis a vis the victim. Grooming includes patterned behavior to access/engage the victim through play activities, verbal enticements, or bribery.

Discussion

In order to score this item, descriptions of the events leading up to the sexual offense are required. Probably the best source of this information comes from police reports and interviews with the juvenile, accomplices, witnesses, and victims. Because reports of the events of any sexual offense may differ from person to person, convergence from two or more sources should be viewed as credible. For example, if an accomplice and the victim report that the juvenile bribed the victim and the juvenile claimed the sexual act was consensual, the convergence of two reports on the act of bribery should be viewed as credible. In the event that there are only two sources of information about the offending incident in the file and both report contradictory details (one satisfying the criteria for this item and the other contradicting deception and grooming), generally count this item as "no" unless the report contradicting the presence of deception or grooming clearly is not credible or if the juvenile freely admits to deception or grooming.

Judgments about whether or not deception or grooming occurred should be based on the facts of the case. Often times, treatment providers or psychological assessors may simply state that the offender "groomed" or "deceived" the victim in a report to the court, without specifying their definitions or the facts on which their conclusions rest. Without supporting details in the report, ideally corroborated by other judicial or police documents or by admission from the juvenile, this is not sufficient evidence.

Particularly with younger juveniles who offend sexually, the offending behavior occasionally is preceded by play activities. Play, itself, is not sufficient for this item to be counted as "Yes." Instead, the facts of the case must be such that the play was engaged in with the intent of gaining access to or compliance from the victim. Generally, this will require a clear pattern of behavior to score "Yes" for deception based on play activities or else strong evidence of intent based on a single incident.

The use of illegal drugs or alcohol to facilitate the commission of a sexual offense would be considered grooming for the purposes of this item. Specifically, if the juvenile provides the potential victim with illegal drugs or alcohol in exchange for engaging in a sexual act, this item would be scored as "Yes." Also, if the juvenile provides the potential victim with illegal drugs or alcohol or encourages the victim to use illegal drugs or alcohol for the purpose of getting the victim intoxicated to facilitate offending behavior, score this item as "Yes." Score this item as "No" in cases where the victim's use of illegal drugs or alcohol was not encouraged by the juvenile and the drugs or alcohol was provided by persons not engaged in the sexual offending behavior.

- 1. A juvenile was charged with felony-level sexual abuse of a child after persuading a victim that they had permission from a parent figure to engage in a sexual act. Count as "Yes," score as 1 (note that misrepresenting the statements of an authority figure is counted as deception for this item).
- 2. A juvenile was charged with misdemeanor-level exposure after exposing his genitals to a younger child. The offense occurred after the perpetrator had invited the victim to play video games for several consecutive days in order to establish a relationship. Count as "Yes," score as 1 (note that the play behavior was used to establish a relationship which facilitated access to the victim).
- 3. A juvenile was charged with felony-level sexual abuse of a child after promising to give his victim some gift in exchange for engaging in sexual acts. Count as "Yes," score as 1 (note that bribery is counted as grooming for this item).
- 4. A juvenile was charged with felony-level sexual assault after forcibly assaulting a stranger at a party. Count as "No," score as 0 (note that although this is clearly a forcible assault, there is no indication of deception or grooming).
- 5. A juvenile was charged with felony-level sexual assault after forcibly assaulting a stranger at a party. Details of the police report indicate that the victim reported the offender told her his name was John Smith, but upon arrest the officer discovered the juvenile's name to be James Johnson. Count as "Yes," Score as 1 (note that misrepresentation of juvenile's identity is considered deception for this item).
- 6. A juvenile was charged with felony-level sexual abuse of a child. There are no details in police reports or other court documents regarding the events leading up to the sexual abuse incident. However, the juvenile's court-ordered psychological assessment is found in the file, and in that report, the assessor reports that the juvenile "apparently groomed the victim." It is unclear how the assessor made this determination. Count as "No," Score as 0 (note that descriptions of events are required to determine a point is awarded for this item. Judgments by others without supporting descriptions are not sufficient).

- 7. A juvenile was charged with felony-level sexual abuse of a child after digitally penetrating his younger sister. The sexual abuse occurred while the juvenile was babysitting his sister an apparently common arrangement in that family. Police reports note that the juvenile and victim were playing with toys prior to the abuse incident. Count as "No," Score as 0 (note that, from the description, the play acts did not appear intended primarily on gaining access to or compliance from the victim).
- 8. A juvenile was charged with felony-level sexual assault for an offense occurring at a party. According to police reports, the juvenile and an accomplice were reported to have engaged the victim in a "drinking contest," whereby the juvenile, accomplice, and victim took repeated rounds of shots of liquor. The report further specifies that after the victim became intoxicated the juvenile and accomplice brought the victim to a bedroom and sexually assaulted her. Count as "Yes," Score as 1 (note that providing or encouraging drug or alcohol use by the victim for the purposes of sexually offending is counted as grooming for this item).
- 9. A juvenile was charged with felony-level sexual assault for an offense occurring at a party. According to police reports, the juvenile and accomplice sexually assaulted a female victim who was "passed out" in a bedroom where the party occurred. The report further specified that, though the victim and the juvenile were acquaintances, they had not interacted that night prior to the assault. Count as "No," Score as 0 (note that the juvenile did not provide or encourage the use of drugs or alcohol).

Status of the juvenile's prior sexual offender specific treatment:

Never Entered	0
Entered and Had No Prior	
Treatment Failures	1
Entered and Failed At Least	
One Prior Treatment	2

Scoring Criteria

Determine if the juvenile had ever entered sexual offender specific treatment (SOT) voluntarily or had been judicially mandated to participate in such treatment prior to his current sexual offense adjudication. If the juvenile has no history of such treatment or mandate, score this item as "Never Entered." To be scored as "Entered and Had No Prior Treatment Failures," the juvenile must have entered all prior mandated or voluntary SOTs prior to his current sexual offense, and the juvenile must have not had any failures, as defined below, in such treatments. If the juvenile entered any number of prior treatments and failed at least one treatment attempt, score this item as "Entered and Failed At Least One Prior Treatment." SOT may include individual or group outpatient treatment or individual or group inpatient treatment where the primary focus of the treatment was sexual offending desires or behaviors. Treatment failures include refusing to enter mandated treatment, quitting or absconding from treatment, being removed from treatment by staff, or committing a new sexual offense during the time the juvenile was attending treatment.

Discussion

Do not count evaluations as a SOT.

Judicial mandates for SOT are not required for failures in such treatment to count for this item because voluntarily entered SOTs are also scored on this item. Obviously, a court mandate is required before there can be a refusal of treatment, but quitting or absconding from treatment, being terminated from treatment, and committing a new sexual offense while in treatment can occur in mandated or voluntary SOTs.

Missing one or more treatment sessions does not count as treatment failure, unless those absences result in termination from treatment by staff. Also, problematic treatment behavior (e.g., oppositional behavior, defacing property) is not counted as a treatment failure, even if those behaviors result in the temporary removal from a treatment facility (e.g., detention), so long as those behaviors do not result in termination of treatment by staff.

If a juvenile commits a detected sexual offense, including the most recent sexual offense, during the same time period in which he is attending a SOT, the new sexual offense is considered a treatment failure.

Transferring from one SOT to another SOT does not count as a treatment failure, unless that transfer resulted from the termination of treatment by staff of the first SOT.

- 1. A juvenile entered and completed outpatient SOT prior to the index sexual offense, and that was his only previous SOT. *Count as "Entered and Completed All Prior Treatments,"* score as 1.
- 2. A juvenile was mandated to participate in a SOT as part of a prior sexual offense adjudication order, but he refused to enter that treatment. Count as "Entered and Failed At Least One Prior Treatment," score as 2 (note refusals to enter mandated treatment are considered treatment failures).
- 3. A juvenile was mandated to enter a residential SOT for a prior sexual offense adjudication. He did enter that treatment facility, but he absconded during the course of treatment. Count as "Entered and Failed At Least One Prior Treatment," score as 2 (note absconding from treatment, even if returned to treatment, count as a treatment failure).
- 4. The file did not document any mandate for a prior SOT, but records indicate that the juvenile entered treatment for "problematic sexual behavior" two years prior to the current sexual offense. Records further indicate that his parents entered him in the treatment after he was found fondling his infant brother. He apparently completed that treatment. Count as "Entered and No Treatment Failures," score as 1 (note that this item does not require that the prior treatment be mandated as part of a prior sexual offense adjudication order).
- 5. Case file records indicate that the juvenile was mandated to enter anger management treatment after a prior adjudication for an assault. Count as "Never Entered," score as 0 (note that to count for this item treatments must focus primarily on sexual offending desires or behaviors).
- 6. A juvenile entered a mandated residential SOT as part of a prior sexual offense adjudication. Treatment records indicate that during the course of treatment the juvenile was removed to a detention center for two days after the juvenile defaced the treatment facility, after which he was returned to the residential facility. He later completed that treatment. Count as "Entered and No Treatment Failures," score as 1 (note that temporary removals from treatment due to unruly behavior do not count as failures, so long as the behavior does not result in treatment termination by staff).
- 7. A juvenile entered a mandated residential SOT as part of a prior sexual offense adjudication. During a leave from the treatment facility, he was arrested for a new sexual offense against his sibling. He was returned to the residential facility after detention, and he was ordered to complete his SOT at that facility as part of his sentence for this newest sexual offense. Count as "Entered and Failed At Least One Prior Treatment," score as 2 (note that committing a new offense while attending a prior SOT constitutes a treatment failure).

Number of officially documented incidents of "hands-on" sexual abuse in which the iuvenile was the victim:

None	0
One to Four	1
Five or More	2

Scoring Criteria

Count all officially documented "hands-on" sexual abuse incidents where the juvenile was the victim. Official documentation may include police, court, Child Protective Services (or state equivalent), or medical reports. Do not count self-reported victimization incidents that are not also officially documented. "Hands-on" sexual abuse includes direct contact between the perpetrator of the abuse and the juvenile (exhibitionism would be excluded). Such acts may include, forcing the juvenile to fondle the abuser, oral sex, forcing the juvenile to perform oral sex on the abuser or someone else, penetration, or forcing the juvenile to penetrate the abuser or another's vagina or anus.

Discussion

Allegations of abuse made by parents or other relatives against another person (e.g., ex-partner) reported in psychological evaluations do not count as officially documented incidents of sexual abuse, unless supported either by other official documentation sources listed above or by a direct admission of the abuse by the perpetrator.

Occasionally, the perpetrator of abuse will receive a charge for each victim of abuse. If the juvenile is a named victim in such circumstance and the charge associated with that juvenile is dropped as part of the perpetrator pleading guilty to lesser or fewer charges, consider the juvenile to have been the victim of abuse. A formal conviction is not required.

Once hands-on sexual abuse is officially documented, include all credible evidence in the file, including statements from the juvenile, to determine the frequency of the abuse. The number of incidents of abuse may occasionally be described in non-specific terms. In those instances, use the following guidelines for scoring purposes. A "couple" is considered two incidents. A "few" is considered three incidents. "Several" and "Multiple" are considered four incidents. Five or more incidents would be scored only when the condition is clearly satisfied (e.g., once a month for 5 months or more, several times a week for two or more weeks, a couple of times per month for three or more months, etc.)

When a range of frequencies of abuse is specified, choose the lowest frequency for this item. In the event that the number of abuse incidents is specified over a period of time (e.g., "times per month"), calculate the smallest frequency that satisfy the conditions of the statement. For example, if the official documentation specifies the juvenile was victimized "one or two times per month over a

three month period," count four incidents for this item (i.e., one incident for first month, one incident for second month, two incidents for third month).

- 1. A juvenile was the victim of an officially charged, hands-on sexual offense by an adult relative. The perpetrator was charged with only one count, but police reports indicated that the abuse had occurred on two occasions. Count as two incidents and score as 1.
- 2. A juvenile was the victim of founded sexual abuse incident. A Child Protective Services report of the incidents indicated the perpetrator sodomized the juvenile on "several" occasions. Count as four incidents and score as 1 (note that for non-specific language regarding frequency, "several" is considered four incidents).
- 3. A juvenile was the victim of a single sexual abuse incident at a foster home that was officially reported to Child Protective Services. Though the incident was founded, it did not result in an official charge. Count as one incident and score as 1 (note that criminal charges are not required for the event to be considered to be officially documented).
- 4. A juvenile was the victim of an officially charged sexual abuse incident, in which his uncle exposed his genitalia to the juvenile on at least four occasions. Count as "None," score as 0 (note that all offenses involved non-contact sexual abuse).
- 5. A juvenile was the victim of sexual abuse incidents that were officially reported to Child Protective Services. In the final report, the abuse was reported to have occurred "four or five times over a one-month period." Count as four incidents and score as 1 (note that when a range of frequencies is specified, choose the least number of incidents that satisfies the statement).
- 6. A juvenile was the victim of sexual abuse incidents that were officially reported to Child Protective Services. In the final report, the abuse was reported to have occurred "one or two times per month over a two month period." Count as three incidents and score as 1 (note that when a range of frequencies is specified over a period of time, choose the least number of incidents that satisfies the statement).
- 7. A psychological evaluation submitted to the court after the juvenile was charged with a sexual offense indicated that the juvenile and his mother accused the juvenile's father (and mother's ex-husband) of sexually abusing the juvenile on three occasions. There are no other reports of this abuse in the file. Count as "None," score as 0 (note that self-reported sexual abuse is not counted for this item unless supported by other official documentation).
- 8. A psychological evaluation submitted to the court indicated that the juvenile's father admitted to the evaluator that he had sexually abused the juvenile by fondling him when the juvenile was an infant. This event was never reported to police or child protective services. Count as one incident and score as 1 (note that an admission of sexual abuse by the perpetrator of the abuse is sufficient for this item).

Number of officially documented incidents of physical abuse in which the juvenile was the victim:

None	0
One to Four	1
Five or More	2

Scoring Criteria

Count all officially documented physical abuse incidents where the juvenile sexual offender was the victim. Official documentation may include police, court, Child Protective Services (or state equivalent), or medical reports. Do not include self-reported victimization incidents that are not officially documented. Physical abuse necessarily involves direct contact with the victim, but the severity of injury sustained by the juvenile is irrelevant.

Discussion

Like Item 8, allegations of abuse made by parents or other relatives against another person (e.g., expartner) reported in psychological evaluations do not count as officially documented incidents of physical abuse, unless supported either by other official documentation sources listed above or by a direct admission of the abuse by the perpetrator.

Occasionally, the perpetrator of abuse will receive a charge for each victim of abuse. If the juvenile is a named victim in such circumstance and the charge associated with that juvenile is dropped as part of the perpetrator pleading guilty to lesser or fewer charges, consider the juvenile to have been the victim of abuse.

Once it is determined that physical abuse has been officially documented, use all credible evidence in the file, including the juvenile's statements, to determine the frequency of abuse. The number of incidents of abuse may occasionally be described in non-specific terms. In those instances, use the following guidelines for scoring purposes. A "couple" is considered two incidents. A "few" is considered three incidents. "Several" and "Multiple" are considered four incidents.

When a range of frequencies of abuse is specified, choose the lowest frequency for this item.

In the event that the number of abuse incidents is specified over a period of time (e.g., "times per month"), calculate the smallest frequency that satisfy the conditions of the statement. For example, if the official documentation specifies the juvenile was victimized "one or two times per month over a three month period," count four incidents for this item (i.e., one incident for first month, one incident for second month, two incidents for third month).

- 1. A juvenile was the victim of physical abuse committed by his father for which the father was officially charged. Though the father was charged with only one count, a police report indicated that the abuse had occurred on "multiple occasions" and had resulted on several bruises to the juvenile's back and arms. *Count as four incidents and score as 1 (for non-specific language regarding frequency, "multiple" is considered four incidents*).
- 2. A juvenile was the victim of several founded physical abuse incidents. A Child Protective Services report of the incidents indicated that the juvenile's mother had used a leather belt to severely punish her child on "four or five occasions." Count as four incidents and score as 1 (note that when a range of frequencies is specified, choose the least number of incidents that satisfies the statement).
- 3. A juvenile was the victim of physical abuse incidents that were officially reported to Child Protective Services. In the final report, the abuse was reported to have occurred "one or two times per month over a two month period." Count as three incidents and score as 1 (note that when a range of frequencies is specified over a period of time, choose the least number of incidents that satisfies the statement).
- 4. A juvenile was the victim of a single physical abuse incident perpetrated at a foster home that was officially reported to Child Protective Services. Though the incident was founded, it did not result in an official charge. Count as one incident and score as 1 (note that criminal charges are not required for the event to be considered to be officially documented).
- 5. There is no mention of child abuse anywhere in the file. *Count as no incidents and score as* 0.
- 6. Although the juvenile alleged that physical abuse occurred, such abuse was not documented through official reports in the file. Count as no incidents and score as 0 (note that self-reported physical abuse is not counted for this item unless supported by other official documentation).
- 7. A Child Protective Services report in file confirms that the juvenile was physically abused weekly for two months. Count as 8 incidents and score as 2 (note that weekly would be once a week for 8 weeks).

Does the juvenile have a history	of special education p	placement?
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No	0
Yes	1

Scoring Criteria

Score "Yes" if the juvenile was ever officially placed in a special education program. Special education placement must be provided by the school system and may include assistance for educational, mental, or learning disabilities, or for emotional or behavioral disorders, or for reasons unknown.

Discussion

Do not include as evidence of special education placement any tutoring or special assistance sought by the juvenile or his family outside of the school system. Special education in the school system must be clearly documented, though the exact reason may be unspecified.

Do not count English as a Second Language (ESL) classes as special education.

- 1. In a pre-disposition report delivered to the court, a case officer reported that the juvenile has a history of official placement in a special education classroom for students with learning disabilities involving reading. She stated this information was gained through an interview with school officials. Count as "Yes," score as 1.
- 2. In a letter submitted to the court by school officials, the principal of the school stated that the juvenile received official special education assistance for one hour per day as a result of a mathematical learning disability. Count as "Yes," score as 1.
- 3. In an Individual Education Plan found in the case file, the juvenile was classified as "Behaviorally Disordered." Count as "Yes," score as 1.
- 4. In a psychological assessment, the psychologist reported that the juvenile received additional tutoring at home from an external agency. There is no evidence of special education placement at school. Count as "No," score as 0 (note that educational assistance that is not school sponsored is not counted for this item).
- 5. Reports in the case file from the juvenile's school indicate he was receiving ESL assistance. Count as "No," score as 0 (note that ESL classes or coursework is not counted for this item).

Number of education time periods with school discipline problems (elementary school, middle school/junior high school, high school):

None or One	0
Two	1
Three	2

Scoring Criteria

Count the number of education time periods that the juvenile received any number of school disciplines for problematic behavior, as documented in the case file. The three educational time periods include elementary school, middle school or junior high, and high school. Behaviors that may have resulted in formal school discipline include non-sexual violence, sexual aggression, property offenses, oppositional behavior, verbal harassment, truancy, and other significant behavior problems noted but not specified.

Formal school discipline must include one of the following: removal from an in-session classroom (e.g., sent to see the principal, suspension), after- or in-school detention, or prohibition from participating in school activities (e.g., not allowed to attend a field trip).

Discussion

If a juvenile attends education services while living in a residential treatment or long-term detention facility, count only behavior problems occurring while in the educational setting (i.e., classroom), as opposed to the treatment or residential settings. Count these problems for the grade equivalent to the grade the juvenile would have attended, if he was attending school in the community.

School districts vary in the grade levels assigned to junior high school and/or middle school. Define the education time periods as they are defined by the school district (specified in school reports) in which the juvenile resides. If that information is not available and cannot be inferred from educational or treatment records in the case file, use the following definitions. Elementary school includes kindergarten through fifth grade. Middle school includes from sixth grade through eighth grade. High includes ninth grade through completion (e.g., graduation or dropping out).

Examples

1. In a probation report, the probation officer reported that the juvenile had been suspended from high school for participating in a physical assault on another student. In a separate report of education progress provided to the court, a school attendance official reported that the juvenile was frequently truant in middle school and that he received detention for these absences. Count two time periods with disciplines, score as 1 (note that official school records are not required to score this item).

- 2. In an education summary report, a school official reported that the juvenile had been sent to the principal's office after verbally harassing another student during his fifth-grade year. The report further specified the juvenile had been given detention for cheating on an exam in the eighth grade. The report did not specify which education time period classifications are used in that school district. Count as two time periods with a discipline, score as 1 (note that when education time period classifications are not specified, consider fifth grade as elementary school and sixth through eighth grade as middle school).
- 3. In a psychological assessment report, a psychiatrist reported communicating with school officials with regard to behavior problems the juvenile had exhibited over the course of his schooling. The psychiatrist reported that school officials had disclosed that the juvenile had pervasive oppositional problems that resulted in frequent disciplinary problems starting in the second grade and continuing to the present. At the time of the report, the juvenile was in the eleventh grade. *Count as three time periods with disciplines, score as 2 (note that official school records are not required to score this item).*
- 4. In the case file, nothing was mentioned about the juvenile's school performance or behavior problems. *Count as no time periods with disciplines, score as 0.*
- 5. In an educational summary report, a school official reported the juvenile had a record of two suspensions for fighting while in the seventh grade. A more recent report to the court from a residential treatment facility where the juvenile was attending school and therapy indicated that the juvenile was disciplined for fighting with another resident. The fight occurred in the dining area of their residence. The report further indicated that the juvenile's educational performance was "average" with no problems noted. *Count as one time period, score as 0* (note that for disciplines to count from a residential treatment facility, the behavior problem must occur in the classroom of that facility).

Number of adjudications for non-sexual offenses prior to the most recent (index) sexual offense adjudication:

None or One	0
Two or More	1

Scoring Criteria

Count all non-sexual offenses for which the juvenile was adjudicated guilty prior to the juvenile's current (index) sexual offense adjudications. Non-sexual criminal offense include status offenses (e.g., possession of alcohol), misdemeanor-level and felony-level offenses that are not sexual offenses by statute.

Discussion

Occasionally, non-sexual charges will be adjudicated on the same date as the index sexual offense. When this occurs, do not count these non-sexual adjudications for this item.

- 1. A juvenile had one petty theft adjudication that occurred six months prior to his current sexual offense adjudication. Count as one, score as 0.
- 2. A juvenile was adjudicated for two felony-level assault charges one year prior to his current sexual offense adjudication. Count as two, score as 1.
- 3. A juvenile was adjudicated for felony-level assault on the same day as his index sexual offense charge. He has two prior sexual offense adjudications, but no other non-sexual adjudications. Count as none, score as 0 (note that non-sexual offense adjudications that occur on the same date as the index sexual offense adjudication are not counted for this item).
- 4. The only adjudications that the juvenile has on his record are for sexual offenses. *Count as* none, score as 0.